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1000 U.S. PTO  
09/88340

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

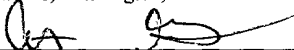
**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on June 22, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL769088712US**, addressed to: Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Attorney Docket No.: DEM1P007

First Named Inventor: NEAL

1000 U.S. PTO  
09/88340  
06/22/01

  
Alison Gates

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**MICHAEL NEAL, KRISHNA VENKATRAMAN, ROB PARKIN,  
SUZANNE VALENTINE, PHIL DELURGIO, and HAU LEE**

For: **PRICE OPTIMIZATION WITH RULE RELAXATION**

Assigned to:

**Application Elements:**

- ☒ 137 Pages of Specification, Claims and Abstract
- ☒ 27 Sheets of Formal Drawings
- ☐ Pages Combined Declaration and Power of Attorney

**Accompanying Application Parts:**

- ☒ Do not publish this application. Nonpublication Request is attached.
- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other:

09088340 062201

Fee Calculation (37 CFR § 1.16)

☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	20	MINUS	20	= 0	x 18 =	\$-0-
INDEP.	2	MINUS	3	= 0	x 80 =	\$-0-
[ ] First presentation of multiple dependent claim					\$270 =	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$710 =	\$710.00
TOTAL						\$710.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

☐ Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ is enclosed.

☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. \_\_\_\_\_).

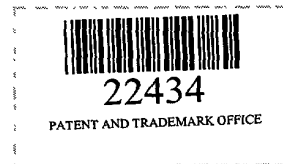
**DO NOT CHARGE ANY FILING FEES TO OUR DEPOSIT ACCOUNT AT THIS TIME.**

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0388 (Order No. DEM1P007).

☒ Please send correspondence to the following address:

**Customer Number 022434**  
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Telephone (831) 655-2300  
Fax (831) 655-4288



Date: June 22, 2001

Michael Lee  
Michael Lee  
Registration No. 31,846

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: NEAL et al.

Attorney Docket No.: DEM1P007

Application No.: FILED HERewith

Examiner: UNASSIGNED

Filed: FILED HERewith

Group: UNASSIGNED

Title: PRICE OPTIMIZATION WITH RULE  
RELAXATION

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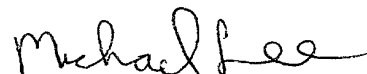
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Alison Gates**NONPUBLICATION REQUEST AND CERTIFICATION  
(35 U.S.C. 122(b)(2)(B)(i))**

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: June 22, 2001  
Michael Lee  
Registration No. 31,846

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